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Further Remarks on the Numerical Range of λ -Commuting Operators

Arthur Wafula & Jairus M. Khalagai

School of Mathematics, University Of Nairobi, Nairobi, Kenya

Abstract

Let $B(H)$ denote the Banach Algebra of all Bounded operators on a Complex Hilbert Space H . We consider operators $A, B \in B(H)$ satisfying the property that $AB = \lambda BA$, $\lambda \in \mathbb{C}$, $AB \neq 0$ and show that if A and B are self-adjoint then the numerical ranges of AB and BA are either real or pure imaginary and deduce related results.

Keywords: Numerical range, λ -Commuting Operators, normal and self-adjoint operators

INTRODUCTION

Let H be an infinite dimensional Hilbert space and $B(H)$ denote the Banach Algebra of bounded linear operators on H , then we say that A and B are λ -Commuting Operators if $AB = \lambda BA$, where λ is a complex number and $AB \neq 0$. This type of operators have been studied by a number of Authors including [1], [2], [3] and [5].

Commuting operators have had extensive applications in quantum mechanical observation and analysis of the spectra. We show that when two operators A and B commute to a scale factor then the numerical range $W(AB)$ of the product is real or pure imaginary. J.A Brook, P. Busch and D.B Pearson proved the following result using the spectral analysis approach.

Theorem A

Let $A, B \in B(H)$ be such that $AB = \lambda BA$, $AB \neq 0$ for a complex number λ . Then we have;

- (i) If A or B is self adjoint then λ is a real number.
- (ii) If both A and B are self adjoint then $\lambda \in \{1, -1\}$.
- (iii) If both A and B are self adjoint and one of them is positive then $\lambda = 1$.

They also showed that if A and B λ commute then they have the same spectrum. In this paper apart from investigating the numerical range properties for AB and BA we use the Putnam- Fuglede theorem to provide an alternative proof of the theorem.

NOTATION AND TERMINOLOGY

Given an operator A on a Hilbert space H we denote the numerical range, spectrum and kernel of A by the symbols $W(A)$, $\sigma(A)$ and $\ker(A)$ respectively.

Thus we have that:

$$W(A) = \{(Ax, x); \|x\| = 1\}$$

$$\sigma(A) = \{\lambda; A - \lambda I \text{ is not invertible}\}$$

$$\ker(A) = \{x \in H; Ax = 0\}$$

We say that λ is a normal eigenvalue of A if $\{x \in H; Ax = \lambda x\} = \{x \in H; A^*x = \bar{\lambda}x\}$ or equivalently if $\ker(A - \lambda I) = \ker(A^* - \bar{\lambda}I)$

For any two operators A and B the commutator of A and B is denoted by $[A, B] = AB - BA$. An operator A is said to be normal if $AA^* = A^*A$, self-adjoint if $A = A^*$, and anti-self-adjoint if $A = -A^*$.

We have the following inclusion; $\{\text{Self-adjoint}\} \subset \{\text{Normal}\}$ and $\{\text{Anti-self-adjoint}\} \subset \{\text{Normal}\}$

RESULTS

We first use the well-known Putnam Fuglede property to give an alternative proof of theorem A.

Lemma 1.1

Let A, B be bounded operators on a Hilbert space H such that $AB = \lambda BA$ and $AB \neq 0$ where λ is a complex number. Then:

If A or B is Self-adjoint we must have that λ is a real number

If A and B are Self adjoint then $\lambda \in \{1, -1\}$

If A and B are self-adjoint and either A or B is positive then $\lambda = 1$.

Proof

(i) $AB = \lambda BA$ if and only if

$$B^*A^* = \bar{\lambda}A^*B^*.$$

But if say $A = A^*$ then

$$B^*A = \bar{\lambda}AB^*.$$

By Putnam Fuglede theorem $B^*A^* = \lambda A^*B^*$. Hence we have that

$$\bar{\lambda}A^*B^* = \lambda A^*B^*$$

Consequently we have that $(\bar{\lambda} - \lambda)A^*B^* = 0$ Since $AB \neq 0$ we obtain the result $(\bar{\lambda} - \lambda) = 0$. So $\bar{\lambda} = \lambda$

(ii) If $A = A^*$, and $B = B^*$ then $AB = \lambda BA$ and so $(AB)^* = \bar{\lambda}BA = |\lambda|^2BA$.

Hence,

$$BA = \lambda \overline{AB} = \lambda \overline{\lambda BA} = |\lambda|^2 BA.$$

Consequently $(1 - |\lambda|^2)BA = 0$. So from (i) $\lambda = \pm 1$.

(iii) Let $AB = -BA$ then Consider the commutator of A and B namely $AB - BA = AB + AB \neq 0$.

However this leads to the anti-commutator being non zero. i.e. $AB + BA \neq 0$.

This is a contradiction hence we must have that $\lambda = 1$.

Lemma 2.1

If an operator T is anti-self adjoint then $W(T)$ is a pure imaginary.

Proof

Note that $\lambda \in W(T) \Leftrightarrow \lambda = (Tx, x) = (x, T^*x) = -(x, Tx) = -\overline{\lambda}$. Thus $\lambda + \overline{\lambda} = 0$ and hence λ is a pure imaginary number.

Theorem 2.2

Let $AB = \lambda BA$, $AB \neq 0$ and B be a normal operator. If A is anti-self-adjoint then λ is a real number.

Proof

If $AB = \lambda BA$ then we take the adjoint on both sides to find $B^*A^* = \overline{\lambda}A^*B^*$. Hence applying the Putnam-Fuglede theorem we have that

$$B^*A = \lambda AB^* \Leftrightarrow -B^*A^* = -\overline{\lambda}A^*B^* \Leftrightarrow (AB)^* = \lambda A^*B^* \Leftrightarrow (\lambda BA)^* = \lambda A^*B^* \Leftrightarrow \lambda A^*B^* \Leftrightarrow (\overline{\lambda} - \lambda)A^*B^* = 0. \text{ Hence } \overline{\lambda} = \lambda$$

Corollary 2.3

If A and B are anti-self-adjoint operator such that $AB = \lambda BA$ and $AB \neq 0$ then $\lambda \in \{1, -1\}$

Proof

Since A is normal we have that λ is a real number. Furthermore from $AB = \lambda BA$ we obtain

$$B^*A^* = \lambda A^*B^* \Leftrightarrow BA = \lambda AB = \lambda^2 BA \Leftrightarrow (1 - (\lambda)^2)BA = 0. \text{ Since } AB \neq 0 \text{ we obtain } \lambda = \pm 1$$

Theorem 2.4

Let $A, B \in B(H)$ be such that $AB = \lambda BA$, $\lambda \in \mathbb{C}$, $AB \neq 0$ where A and B are self-adjoint. Then $W(AB)$ and $W(BA)$ are either real or pure imaginary.

Proof

Let $\mu \in W(AB)$. Then $\mu = (ABx, x) = (Bx, Ax)$. But since $W(AB) = W(\lambda BA) = \lambda W(BA)$ we must have that $\lambda(BAx, x) = \lambda(Ax, Bx) = \overline{\lambda}(Bx, Ax) = \overline{\lambda}\mu = \mu$

Now for A and B self-adjoint $\lambda = \pm 1$ so that $\mu = \pm \overline{\mu}$

Corollary 2.5

Let $A, B \in B(H)$ be such that $AB = \lambda BA$, $\lambda \in \mathbb{C}$, $AB \neq 0$, where A and B are self-adjoint. If μ is an eigen-value of AB then μ is either real or pure imaginary.

Proof

If μ is an eigenvalue of AB then $\mu \in W(AB)$. By the theorem above $\mu = \lambda\mu^-$. But since A and B are self-adjoint $\lambda = \pm 1$. So that $\mu = \pm\mu^-$. Hence μ is either real or pure imaginary.

Corollary 2.6

Let $A, B \in B(H)$ be such that $AB = \lambda BA$, $\lambda \in \mathbb{C}$, $AB \neq 0$ where A and B are Self-adjoint. Then every eigenvalue μ of AB or BA is normal.

Proof

Since A and B are self-adjoint we have that either $\lambda = \pm 1$. Hence AB is either self-adjoint or anti self-adjoint. In both cases AB is normal. Hence μ is a normal eigenvalue.

Corollary 2.7

The eigen-spaces of the eigenvalues of AB or BA reduce AB or BA respectively where AB are self-adjoint λ -commuting operators.

Theorem 2.8

Let $A, B \in B(H)$ be such that $AB = \lambda BA$, $AB \neq 0$ where A and B are anti-self-adjoint operators. Then $W(AB)$ and $W(BA)$ are either pure imaginary or real.

Proof

Let $\mu \in W(AB)$. Then we have that

$$\mu = (ABx, x) = (Bx, A^*x) = -(Bx, Ax).$$

Also we have that

$$\mu = \lambda(BAx, x) = -\lambda(Ax, Bx) = -\lambda^-(Bx, Ax)$$

Therefore $-(Bx, Ax) = -\lambda^-(Bx, Ax)$ i.e. $\mu = \lambda\mu^-$. When A and B are anti-self-adjoint by corollary 1 we must have $\lambda = \pm 1$. Thus $\mu = \pm\mu^-$

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